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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 14779
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In Re Application Of: **Terumasa Matsushima**

Serial No. 09/903,250	Filing Date July 11, 2001	Examiner Unassigned	Group Art Unit Unassigned
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Title: **AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE INFORMATION FOR BOTH PURCHASER AND SELLER**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14779	
In Re Application: Terumasa Matsushima				
Serial No. 09/903,250	Filing Date July 11, 2001	Examiner Unassigned	Group Art Unit Unassigned	
AUCTION INFORMATION PROVISION SYSTEM CAPABLE OF PROVIDING RELIABLE INFORMATION FOR BOTH PURCHASER AND SELLER				
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account 19-1013/SSMP as described below. <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited on Jan. 22, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> Paul J. Esatto, Jr. _____ Typed or Printed Name </div> </div> </div> <div style="margin-top: 10px;"> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 45%;"> _____ Signature </div> <div style="width: 45%;"> Dated: January 22, 2004 </div> </div> </div>				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>Paul J. Esatto, Jr. Registration No. 30, 749</p> <p>SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343</p> <p>cc: PJE/rjl</p> </div> <div style="width: 45%; text-align: center;"> <p style="font-size: 1.5em; margin: 0;">RECEIVED</p> <p style="font-size: 1.2em; margin: 5px 0;">FEB 04 2004</p> <p style="font-size: 1.5em; margin: 0;">GROUP 3600</p> </div> </div>				



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Terumasa Matsushima **Examiner:** Unassigned
Serial No.: 09/903,250 **Art Unit:** Unassigned
Filed: July 11, 2001 **Docket:** 14779
For: AUCTION INFORMATION **Dated:** January 22, 2004
PROVISION SYSTEM CAPABLE OF
PROVIDING RELIABLE INFORMATION
FOR BOTH PURCHASER AND SELLER

Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

Sir:

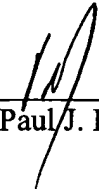
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Net Business Symposium, "Golf reservation service determined by auction", Introduction to Internet Business, Japan, KK Best Sellers, May 15, 2000, First Edition, pp 122-123;
2. Mitsuyo Arimoto "New Graduate Special Job Search Mediation Service" and others, Internet Business Plan, Japan, Asa Publishing Co., July 30, 1999, Ed. 1, pp 102-105, 114-117;
3. Takeshi Otake "Product Search Agent Service", Nikkei Net Business, Japan, Nikkei BP Inc., July 15, 1999, No. 49, pp 20-21;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on January 22, 2004.

Dated: January 22, 2004



Paul J. Esatto, Jr.

4. Japanese Unexamined Patent Application No. 05-063696, published March 12, 1993; together with English language abstract;
5. Japanese Published Patent No. 2000-503789, published March 28, 2000; together with English language translation; and
6. Chikara Sugiwa, "3) Auction Mode", Web Application Server Construction Completion Guide, Japan Nikkei BP Inc., May 29, 2000, Ed. 1, pp 63-64.

The references were cited in a Japanese Official Action dated October 28, 2003 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. Patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

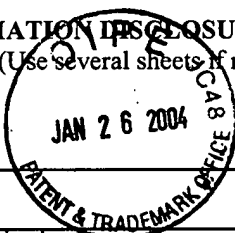


Paul J. Esatto, Jr.
Registration No. 30,749

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343/4366 Fax

PJE:rjl

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty. Docket No.	14779	Serial No.	Unassigned
	Applicant			
	Terumasa Matsushima			
	Filing Date	July 11, 2001	Group	Unassigned



U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	05-063696	03/12/93	Japan				
	2	2000-503789	03/28/00	Japan				
	3							
	4							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Net Business Symposium, "Golf reservation service determined by auction", Introduction to Internet Business, Japan, KK Best Sellers, May 15, 2000, First Edition, pp 122-123
	Mitsuyo Arimoto "New Graduate Special Job Search Mediation Service" and others, Internet Business Plan, Japan, Asa Publishing Co., July 30, 1999, Ed. 1, pp 102-105, 114-117
	Takeshi Otake "Product Search Agent Service", Nikkei Net Business, Japan, Nikkei BP Inc., July 15, 1999, No. 49, pp 20-21
	Chikara Sugiwa, "3) Auction Mode", Web Application Server Construction Completion Guide, Japan Nikkei BP Inc., May 29, 2000, Ed. 1, pp 63-64

EXAMINER	DATE CONSIDERED
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.